



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/088,594	03/21/2002	Masato Ikeda	00005.001198	6859
5514 7590 01/26/2005			EXAMINER	
FITZPATRICK 30 ROCKEFELI	CELLA HARPER	FRONDA, CHRISTIAN L		
NEW YORK, N			ART UNIT	PAPER NUMBER
			1652	

DATE MAILED: 01/26/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Art Unit: 1652

### **DETAILED ACTION**

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR § 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR §§ 1.821 through 1.825 for the reason(s) set forth below and on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.

Both SEQ ID NOs:1 and 2 are listed on the Sequence Listing and Computer Readable Form of the Sequence Listing as DNA of 1080 nucleotides. However, the claims recite that SEQ ID NO: 1 is an amino acid sequence. See attached Sequence Listing dated 06/11/2002 and attached Raw Sequence Listing entered on 07/22/2002. Appropriate correction is required.

APPLICANT IS GIVEN A **ONE MONTH** EXTENDABLE PERIOD WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 CFR §§ 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR §1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR § 1.136. In no case may an applicant extend the period for response beyond the six-month statutory period. Applicant is requested to return a copy of the attached Notice to comply with the response.

Please direct all replies to the United States Patent and Trademark Office via one (1) of the following:

- Electronically submitted through EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual - ePAVE)
- 2. U.S. Postal Service:

  Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service or other delivery service (Effective 06/05/2004).

U.S. Patent and Trademark Office, 220 20th Street South, Customer Window, Mail Stop Sequence, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202

Any inquiry concerning this communication or earlier communications from the examiner

Art Unit: 1652

should be directed to Christian L Fronda whose telephone number is (571)272-0929. The examiner can normally be reached Monday-Friday between 9:00AM - 5:00PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ponnathapura N Achutamurthy can be reached on (571)272-0928. The fax phone number for the organization where this application or proceeding is assigned is (571)273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Troule

Christian L. Fronda Patent Examiner

Art Unit 1652

# **Notice to Comply**

Application No.	Applicant(s) Ikeda et al	
10/088,594		
Examiner	Art Unit	
Christian L. Fronda	1652	

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE **DISCLOSURES**

Applicant must file the items indicated below within the time period set in the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with s):

the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):
1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
□ 7. Other: Both SEQ ID NO: 1 and 2 are listed as DNA of 1080 nucleotides
<b>Applicant Must Provide:</b> ☑ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
oxtimes An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
$\boxtimes$ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).
For questions regarding compliance to these requirements, please contact:
For Rules Interpretation, call (571) 272-2510  For CRF Submission Help, call (571) 272-2501/2583.  PatentIn Software Program Support  Technical Assistance703-287-0200  To Purchase PatentIn Software703-306-2600
DI FACE DETUDNIA CODY OF THE NOTICE WITH VOLID DEDLY

### PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR REPLY

Attachements: Sequence Listing dated 06/11/2002 and Raw Sequence Listing 07/22/2002.